

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of New York, New York

Gregory MILLS et al. Date: June 23, 2000

Serial No.: To be Assigned Group Art Unit: --

Filed: Filed Herewith Examiner: --

For: CREDIT HANDLING IN AN ANONYMOUS TRADING SYSTEM

Asst. Commissioner for Patents
Washington, D.C. 20231

PRELIMINARY AMENDMENT

Sir:

Preliminary to examination, please amend the above-identified application as follows:

IN THE CLAIMS:

Claim 5, line 2, delete "to 4".

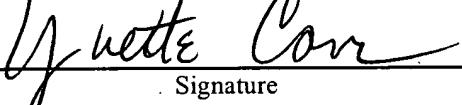
Claim 7, lines 1-2, change "any previous claim" to --claim 1--.

Claim 8, lines 1-2, change "any previous claim" to --claim 1--.

REMARKS

Some claims have been amended (without prejudice) for purposes of fee reduction.

EXPRESS MAIL CERTIFICATE
I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail Post Office to Addressee (mail label #EL583738634US) in an envelope addressed to: Asst. Commissioner for Patents, Washington, D.C. 20231, on June 23, 2000:

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Date of Signature

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Respectfully submitted,



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